

**SONG BA
JOINT STOCK COMPANY**

No. 279/18/S3-TCKT

On disclosure of Report on corporate Governance of listing company of the first 6 months of 2018.

THE SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

Da Nang, 07th August 2018


**DISCLOSURE OF INFORMATION ON THE STATE SECURITIES
COMMISSION'S PORTAL AND HOCHIMINH STOCK EXCHANGE'S
PORTAL**

To:

- The State Securities Commission;
- HoChiMinh Stock Exchange.

- Organization name: Song Ba Joint Stock Company
- Securities Symbol: SBA
- Head office address: 573 Nui Thanh, Hai Chau District, Da Nang City
- Telephone: 0236.3653592 – 0236.2215592
- Fax: 0236.3653593
- Information disclosure type: Periodic Irregular 24 hours On demand
- Content of Information disclosure:
Report on corporate Governance of listing company of the first 6 months of 2018.
(Report on corporate Governance of listing company of the first 6 months of 2018 attached)

I declare that all information provided in this paper is true and accurate; I shall be legally responsible for any misrepresentation.

Yours truly,


Recipients:

- As above;
- ISO department;
- Website SBA;
- Save: Financial Accounting department; Documentary department.



GENERAL DIRECTOR


PHAM PHONG



SONG BA JOINT STOCK COMPANY

Address: 573 Nui Thanh - Da Nang City * Tel: 0236.3653592-2215592 * Fax: 0236.3653593

Email: sba2007@songba.vn * Website: www.songba.vn



**REPORT ON CORPORATE GOVERNANCE OF LISTING COMPANY
of the first 6 months of 2018.**

To: - *The State Securities Commission;*
- *HoChiMinh Stock Exchange.*

- *Organization name* : Song Ba Joint Stock Company (SBA)
- *Head office address* : 573 Nui Thanh, Hai Chau District, Da Nang City.
- *Telephone* : 0236.3653592 – 0236.2215592
- *Fax* : 0236.3653593
- *Email* : sba2007@songba.vn
- *Charter capital* : VND 604.882.610.000
- *Securities Symbol* : **SBA**

I. Activities of the General Meeting of Shareholders.

No.	Resolution/Decision No.	Date	Content
1	Resolution No. 01/2018/NQ-ĐHĐCĐ	30 th Mar 2018	Resolution of the Annual General Meeting of Shareholders of 2018.

II. Board of Management's report of the first 6 months of 2018.

1. Information about the members of the Board of Management (BOM).

No.	BOM's member	Position	Day becoming/ no longer member of the BOM	Number of attendance	Percent -age	Reasons for absence
1	Mr. Nguyen Thanh	Chairman	30/03/2018	1	100%	Removed from the chairman of the BOM
2	Mr Dinh Chau Hieu Thien	Chairman	30/03/2018	2	100%	Selected as the chairman of the BOM

3	Mr. Thai Van Thang	Vice Chairman		3	100%	
4	Mr. Pham Phong	Member – General Director		3	100%	
5	Mr. Nguyen Luong Minh	Member	30/03/2018	1	100%	Removed from the member of the BOM on 30/03/2018
6	Mr. Truong Thanh Nam	Member		3	100%	
7	Mr. Pham Si Huan	Member	30/03/2018	2	100%	Selected as the member of the BOM on 30/03/2018

2. Supervision by the BOM over the Board of Directors: In accordance with the Operating Regulations of the Board of Management of SBA.

3. Resolutions/Decisions of the Board of Directors of the first 6 months of 2018.

No.	Resolution/Decision No.	Date	Content
1	Resolution No. 01/18/NQ-HĐQT	26 th Jan 2018	Holding the Annual General Meeting of Shareholders of 2018.
2	Resolution No. 02/18/NQ-HĐQT	07 th Mar 2018	On approval of the Operation Result of Quarter IV/2017 and of the year 2017; the business plan for 2018, Quarter I/2018 and other important related to 2018 Annual General Meeting of shareholders.
3	Resolution No. 03/18/NQ-HĐQT	04 th April 2018	The second dividend payment 2017 in cash.
4	Resolution No. 04/18/NQ-HĐQT	04 th April 2018	Reward the management board of the company 2017.
5	Resolution No. 05/18/NQ-HĐQT	23 rd May 2018	Approval on the Operation Result of Quarter I/2018 and the business plan for Quarter II/2018 and other relevant points.
6	Decision No. 01/18/QĐ-S3-HĐQT	04 th April 2018	Company secretary remuneration in 2018.
7	Decision No. 02/18/QĐ-S3-HĐQT	26 th April 2018	Select the unit to audit financial statements 2018.
8	Decision No. 03/18/QĐ-S3-HĐQT	09 th May 2018	The title and pay rate of the chairman of the BOM.

9	Decision No. 04/18/QĐ-S3-HĐQT	09 th May 2018	Retirement pension.
10	Decision No. 05/18/QĐ-S3-HĐQT	23 rd May 2018	Issue the operating regulation of the BOM.
11	Decision No. 06/18/QĐ-S3-HĐQT	23 rd May 2018	Continue to appoint general manager of Song Ba joint stock company.
12	Decision No. 07/18/QĐ-S3-HĐQT	15 th Jun 2018	Use fund shares to reward employees.
13	Decision No. 08/18/QĐ-S3-HĐQT	25 th Jun 2018	Allow the employee to go aboard.

III. Supervisory Board of the first 6 months of 2018.

1. Information about members of Supervisory Board.

No.	Supervisory Board's member	Position	Day becoming/no longer member of the Supervisory Board	Number of attendance	Percent -age	Reasons for absence
1	Ms. Phan Thi Anh Dao	Head of Supervisory Board		2	100%	
2	Ms. Nguyen Thi Tran Thao	Member of Supervisory Board		2	100%	
3	Mr. Tran Quang Can	Member of Supervisory Board		2	100%	

2. Surveillance activities of the Supervisory Board toward the Board of Management, Board of Directors and shareholders: In accordance with the Organization and Operation Regulations of SBA.

3. The coordination among the Supervisory Board with the Board of Management, Board of Directors and different managers: In accordance with the Organization and Operation Regulations of SBA.

4. Other activities of the Supervisory Board (if any): No.

IV. Training on corporate governance:

Training courses on corporate governance which members of Board of Management, members of the Supervisory Board, Director (CEO), other managers and company secretary was involved in accordance with regulations on corporate governance in detail: **No.**

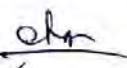
V. List of affiliated persons of the public company as specified in clause 34, Article 6 of the Securities Law (the first 6 months of 2018 report) and transactions of affiliated person of the Company with the Company:

- 1. List of affiliated persons of the Company:** List of internal person and their affiliated person until 30/06/2018 attached.
- 2. Transactions between the company and the affiliated persons or between the company and major shareholders, internal person and related person of internal person:** No.
- 3. Transaction between internal person of listed company and company's subsidiaries, or the company in which listed company takes controlling power:** No.
- 4. Transactions between the company and other objects**
 - 4.1. Transactions between the company and the company that members of Board of Management, members of the Supervisory Board, Director (CEO) has been a founding member or members of Board of Management, Director (CEO) in three (03) years (calculated at the time of reporting): No.
 - 4.2. Transactions between the company and the company that related person of members of Board of Management, members of the Supervisory Board, Director (CEO) as a member of Board of Management, Director (CEO): No.
 - 4.3. Other transactions of the company (if any) may be beneficial material or immaterial for members of Board of Management, members of the Supervisory Board, Director (CEO): No.

VI. Transactions of internal person and related person of internal person (of the first 6 months of 2018 report): No.

- 1. List of internal person and their affiliated person:** List of internal person and their affiliated person until 30/06/2018 attached.
- 2. Transactions of internal person and affiliated person on shares of the listed company:** No.

VII. Other significant issues: No.

Recipients: 

- As above;
- BOM member;
- Posted on SBA website;
- Saved at: TCHC, TCKT.

FOR AND ON BEHALF OF
THE BOARD OF MANAGEMENT
CHAIRMAN




DINH CHAU HIEU THIEN





LIST OF INTERNAL PERSONS AND THEIR AFFILIATED PERSON UNTIL 30/06/2018

(Attached to REPORT ON CORPORATE GOVERNANCE OF LISTING COMPANY OF THE FIRST 6 MONTHS OF 2018

N0. /18/S3-HĐQT dated July 2018)

No	Name	Position at the company	Relationship with internal person	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
A. INTERNAL PERSONS LIST						
I. BOARD OF MANAGEMENT						
1	Nguyen Thanh	Chairman		2.100	0,0035	Removed from the chairman of the BOM on 30/03/2018
2	Đình Châu Hieu Thien	Chairman		12.600	0,0208	Selected as the chairman of the BOM on 30/03/2018
3	Thai Van Thang	Vice Chairman		84.000	0,1389	
4	Pham Phong	Member - General Director		312.175	0,5161	
5	Nguyen Luong Minh	Member		4.935	0,0082	Removed the member of the BOM on 30/03/2018
6	Truong Thanh Nam	Member		-	-	
7	Pham Si Huan	Member		-	-	Selected as the member of the BOM on 30/03/2018
II. SUPERVISORY BOARD						
1	Phan Thi Anh Dao	Head of Supervisory Board		1.000	0,0017	
2	Nguyen Thi Tran Thao	Member		-	-	
3	Tran Quang Can	Member		-	-	
III. BOARD OF DIRECTORS						

No	Name	Position at the company	Relationship with internal person	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
1	Pham Phong	Member of BOM - General Director		-	-	Number of shares owned the member of the BOM
2	Nguyen The Duy	Vice General Director of Business		496	0,0008	
IV. CHIEF ACCOUNTANT						
1	Pham Thai Hung	Chief Accountant - The disclosure of SBA				
B. THE LIST OF AFFILIATED PERSONS						
I. The list of affiliated persons of EVNCPC - Founding shareholder						
1	Nguyen Thanh	Chairman of the BOM	Representative founding shares holder	14.517.268	24,0001	
	Pham Phong	Member of BOM - General Director	Representative founding shares holder	6.048.862	10,0001	
	Nguyen Luong Minh	Member of the BOM	Representative founding shares holder	3.078.870	5,0900	
2	Dinh Chau Hieu Thien	Chairman of the BOM	Representative founding shares holder	18.146.478	30,0000	Representative founding shares holder EVNCPC from 30/03/2018 to 09/04/2018
	Pham Si Huan	Member of the BOM		5.498.522	9,0902	
3	Dinh Chau Hieu Thien	Chairman of the BOM		23.645.000	39,0902	Representative founding shares holder EVNCPC on 09/04/2018
II. The list of affiliated persons of Mr. Nguyen Thanh - Chairman of the BOM						
1	Nguyen Thi Phuong Thao		Wife	-	-	
2	Nguyen Van Dang Nguyen		Son	-	-	
3	Nguyen Triet		Son	-	-	
4	Nguyen Trien		Brother	-	-	
5	Nguyen Thi Lang		Sister	-	-	

No	Name	Position at the company	Relationship with internal person	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
6	Nguyen Thi Cuc		Sister	-	-	
7	Nguyen Thi Thuy		Sister	-	-	
8	Nguyen Thi Tuyet		Sister	-	-	
9	Nguyen Phat		Sister	-	-	
III.	The list of affiliated persons of Mr. Dinh Chau Hieu Thien - Chairman of the BOM					
1	Dinh Tho		Father	-	-	
2	Chau Thi Hoa		Mother	-	-	<i>Dead</i>
3	Nguyen Thi Hong		Stepmother	-	-	
4	Phan Thi Dieu An		Wife	-	-	
5	Dinh Chau Minh Phuc		Daughter	-	-	Student
6	Dinh Chau Minh Tri		Son	-	-	Pupil
7	Dinh Chau Hieu Tam		Brother	-	-	
8	Dinh Chau Tam Hao		Sister	-	-	
9	Dinh Chau Tam Huong		Young sister	-	-	
10	Dinh Chau Hieu Thuan		Young brother	-	-	
11	Dinh Chau Tam Hanh		Young sister	-	-	
12	Dinh Chau Hieu Toan		Young brother	-	-	
13	Dinh Chau Tam Huong		Young sister	-	-	
14	Dinh Chau Hieu Nguyen		Young brother	-	-	
IV.	List of affiliated persons of Mr. Thai Van Thang - Vice Chairman of the BOM					
1	Thai Van Son	-	Father	-	-	<i>Dead</i>
2	Nguyen Thi Hoa	-	Mother	-	-	<i>Dead</i>
3	Pham Thi Thu Trang	-	Wife	26.250	0,0434	
4	Thai Nhu Quynh	-	Daughter	-	-	
5	Thai Nguyen Thao	-	Daughter	-	-	

No	Name	Position at the company	Relationship with internal person	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
6	Thai Minh Nhan	-	Daughter	-	-	<i>Born 1997</i>
7	Thai Thi Mua	-	Sister	-	-	
V.	List of affiliated persons of Mr. Pham Phong - Member of the BOM cum General Director					
1	Pham Luong	-	Father	-	-	<i>Born 1931</i>
2	Dinh Thi Lot	-	Mother	-	-	<i>Dead</i>
3	Ho Thi Thu Huong	-	Wife	-	-	
4	Pham Quang Phuc Bao	-	Son	-	-	
5	Pham Ho Khanh Nhu	-	Daughter	-	-	
6	Pham Thi Ngoc	-	Young sister	-	-	
7	Pham Thi Phe	-	Young sister	-	-	
8	Pham Van Manh	-	Young brother	-	-	
9	Pham Minh Chau	-	Young sister	-	-	
10	Pham Dinh Khanh	-	Young brother	-	-	<i>lost Social ID Certification</i>
11	Pham Thi Anh Nguyet	-	Young sister	-	-	
12	Pham Quoc Hung	-	Young brother	-	-	
VI.	List of affiliated persons of Mr. Nguyen Luong Minh - Member of BOM					
1	Nguyen Luong My		Father	-	-	
2	Tran Thi Dieu Khue		Mother	-	-	
3	Nguyen Thi My Chau		Sister	-	-	
4	Nguyen Thi My Tran		Sister	-	-	
5	Nguyen Thi My Phuong		Sister	-	-	
6	Nguyen Luong Giam		Young brother	-	-	
7	Nguyen Thi Lan Giao		Wife	-	-	
8	Nguyen Thi Hanh Nguyen		Daughter	-	-	
9	Nguyen Thi Hanh Doan		Daughter	-	-	

No	Name	Position at the company	Relationship with internal person	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
VII. List of affiliated persons of Mr. Truong Thanh Nam - Member of the BOM						
1	Truong Su		Father	-	-	Dead
2	Nguyen Thi Phuoc		Mother	-	-	
3	Dinh Thi Nga		Wife	-	-	
4	Truong Bao Ngan		Daughter	-	-	Pupil
5	Truong Thanh Nhan		Son	-	-	Pupil
6	Truong Thanh		Brother	-	-	
7	Truong Thi Nghiem		Sister	-	-	
8	Truong Thanh Vinh		Brother	-	-	
9	Truong Thi Thuy Nga		Sister	-	-	Permanent resident of Australia
10	Truong Thanh Bac		Young brother	-	-	
11	Truong Thanh Trung		Young brother	-	-	Permanent resident of Australia
VIII. List of affiliated persons of Mr. Pham Si Huan - Member of the BOM						
1	Pham Van Ky		Father	-	-	
2	Tran Thi Thi		Mother	-	-	
3	Tran Thi Vi Hien		Wife	-	-	
4	Pham Si Luan		Son	-	-	
5	Pham Thi Thai Vi		Daughter	-	-	
6	Pham Si Lam		Young brother	-	-	
7	Pham Thi Anh Thu		Young sister	-	-	
8	Pham Thi Thuy Anh		Young sister	-	-	
IX List of affiliated persons of Ms. Phan Thi Anh Dao - Head of Supervisory Board						
1	Do Minh Thong	-	Husband	8	0,0000	
2	Do Bach Duong	-	Daughter	-	-	Pupil
3	Do Minh Hoang	-	Son	-	-	Kid

No	Name	Position at the company	Relationship with internal person	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
4	Phan Thanh Cham	-	Father	-	-	
5	Nguyen Thi Lanh	-	Mother	-	-	
6	Phan Anh Tuan	-	Young brother	-	-	
7	Phan Tuan Anh	-	Young brother	-	-	
X	List of affiliated persons of Ms. Nguyen Thi Tran Thao - Member of the Supervisory Board					
1	Nguyen Huu Tam		Father	60.527	0,1001	
2	Tran Thi Chau		Mother	-	-	
3	Do Duy Nhat		Husband	-	-	
4	Nguyen Huu Hieu		Young brother	-	-	
5	Do Nhat Minh		Son	-	-	<i>Born 2009</i>
6	Do Nhat Quang		Son	-	-	<i>Born 2011</i>
XI	List of affiliated persons of Mr. Tran Quang Can - Member of the Supervisory Board					
1	Tran Dinh Van	-	Father	-	-	
2	Phan Thi Lan	-	Mother	-	-	
3	Tran Thi Lan Huong	-	Wife	-	-	
4	Tran Nhat Huy	-	Son	-	-	<i>Pupil</i>
5	Tran Huong Tam Dan	-	Daughter	-	-	<i>Pupil</i>
6	Tran Gia Huy	-	Son	-	-	<i>Pupil</i>
7	Tran Quang Trung	-	Brother	-	-	
8	Tran Dinh Chinh	-	Brother	-	-	
9	Tran Thi Ngoc Hoa	-	Sister	-	-	
10	Tran Thi Ngoc Ly	-	Sister	-	-	
11	Tran Dinh Thuyet	-	Young sister	-	-	
12	Tran Thi Ngoc Mai	-	Young sister	-	-	
XII	List of affiliated persons of Mr. Nguyen The Duy - Vice General Director of Business					

No	Name	Position at the company	Relationship with internal person	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
1	Nguyen Sau		Father	-	-	<i>Dead</i>
2	Duong Thi Cuc		Mother	-	-	
3	Nguyen The Phuong		Brother	-	-	
4	Nguyen The Phuoc		Young brother	-	-	
5	Truong Thi Thanh Tam		Wife	-	-	
6	Nguyen Thanh Ly Chau		Daughter	-	-	Born 2007
7	Nguyen Thanh Khanh Chau		Daughter	-	-	Born 2011
XIII	List of affiliated persons of Mr. Pham Thai Hung - Chief Accountant					
1	Pham Xuan Gap	-	Father	-	-	
2	Le Thi Lan	-	Mother	-	-	<i>Dead</i>
3	Nguyen Thi Ngoc Thuy	-	Wife	-	-	
4	Pham Nguyen Vinh Khanh	-	Son	-	-	<i>Born 2008</i>
5	Pham Nguyen Vinh Trong	-	Son	-	-	<i>Born 2012</i>
6	Pham Thai Hoang	-	Brother	-	-	
7	Pham Thai Ha	-	Brother	-	-	
8	Pham Thanh Kim Hue	-	Young sister	-	-	
9	Pham Thi Lan Huong	-	Young sister	-	-	